

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)

ATTORNEY'S DOCKET NUMBER

93602

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/522291**INTERNATIONAL APPLICATION NO.  
**PCT/NZ2003/000174**INTERNATIONAL FILING DATE  
**August 7, 2003**PRIORITY DATE CLAIMED  
**August 9, 2002**

## TITLE OF INVENTION

**Mobile Instrument, Viewing Device, And Methods Of Processing And Storing Information**

## APPLICANT(S) FOR DO/EO/US

**Prentice et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 592966419 US**
23. ☒ Other items or information:  
**Application Data Sheet**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/522291</div>		INTERNATIONAL APPLICATION NO. <b>PCT/NZ2003/000174</b>		ATTORNEY'S DOCKET NUMBER <b>93602</b>																																																																																					
24. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee ..... <b>\$300.00</b> <input checked="" type="checkbox"/> b) Examination fee ..... <b>\$200.00</b> <input checked="" type="checkbox"/> c) Search fee ..... <b>\$500.00</b>  <b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>				<b>Applicant use</b> \$ <b>\$300.00</b> \$ <b>\$200.00</b> \$ <b>\$500.00</b>  \$ <b>\$1,000.00</b>	<b>Office use</b>     																																																																																				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width: 20%;">Total Sheets</th> <th style="width: 20%;">Extra Sheets</th> <th style="width: 40%;">Number of each additional 50 or fraction thereof (round up to a whole)</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td style="text-align: center;">- 100 =</td> <td style="text-align: center;">/50 =</td> <td></td> <td style="text-align: center;">x \$250.00</td> </tr> <tr> <td colspan="4" style="text-align: center;">           Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).         </td> </tr> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">25 - 20 =</td> <td style="text-align: center;">5</td> <td style="text-align: center;">x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">8 - 3 =</td> <td style="text-align: center;">5</td> <td style="text-align: center;">x \$200.00</td> </tr> <tr> <td colspan="4">           MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00         </td> </tr> <tr> <td colspan="4" style="text-align: right;"> <b>TOTAL OF ABOVE CALCULATIONS =</b> </td> </tr> <tr> <td colspan="4" style="vertical-align: top;"> <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.         </td> <td style="text-align: center; vertical-align: top;">         \$ <b>\$0.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"> <b>SUBTOTAL =</b> </td> <td style="text-align: center; vertical-align: top;">         \$ <b>\$1,125.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="vertical-align: top;">           Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).         </td> <td style="text-align: center; vertical-align: top;">         \$ <b>\$0.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"> <b>TOTAL NATIONAL FEE =</b> </td> <td style="text-align: center; vertical-align: top;">         \$ <b>\$1,125.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="vertical-align: top;">           Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +         </td> <td style="text-align: center; vertical-align: top;">         \$ <b>\$0.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"> <b>TOTAL FEES ENCLOSED =</b> </td> <td style="text-align: center; vertical-align: top;">         \$ <b>\$1,125.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"> <b>Amount to be refunded:</b> </td> <td style="text-align: center; vertical-align: top;">         \$       </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"> <b>Amount to be charged:</b> </td> <td style="text-align: center; vertical-align: top;">         \$       </td> <td></td> </tr> <tr> <td colspan="6" style="padding: 10px;"> <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>\$1,125.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>23-0920</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Eric D. Cohen</b>  <b>Welsh &amp; Katz, Ltd.</b>  <b>120 South Riverside Plaza, 22nd Floor</b>  <b>Chicago, Illinois 60606</b></p> <p>Customer No. <b>24628</b></p> </div> <div style="width: 45%; text-align: center;"> <p>SIGNATURE</p> <p><b>Eric D. Cohen</b></p> <hr/> <p>NAME</p> <p><b>38,110</b></p> <hr/> <p>REGISTRATION NUMBER</p> </div> </div> </td> </tr> </table>				Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE	- 100 =	/50 =		x \$250.00	Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	25 - 20 =	5	x \$50.00	Independent claims	8 - 3 =	5	x \$200.00	MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				<b>TOTAL OF ABOVE CALCULATIONS =</b>				<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Prentice et al.

Docket No.

93602

Application No.  
To Be AssignedFiling Date  
January 25, 2005Examiner  
To Be AssignedCustomer No.  
24628Group Art Unit  
To Be Assigned

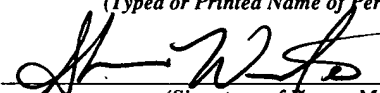
Invention: Mobile Instrument, Viewing Device, And Methods Of Processing And Storing Information

I hereby certify that the following correspondence:

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371; Preliminary Amendment; Specification (48 Pages); Formal Drawings (23 Sheets); International Application WO/2004/015374 A1; Unexecuted Declaration and Power of Attorney; Application Data Sheet; International Search Report; Check in the Amount of \$1,125.00; and Postcard.

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 25, 2005*(Date)*Shannon Wooten*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 592966419 US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.